

Docket No. 239422US8CONT

IN RE APPLICATION OF: Shu NAMIKI, et al.

SERIAL NO: 10/603,770

FILED: June 26, 2003

FOR: RAMAN AMPLIFIER SYSTEM, APPARATUS AND METHOD FOR IDENTIFYING, OBTAINING AND MAINTAINING AN ARBITRARY RAMAN AMPLIFICATION PERFORMANCE



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COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an PRELIMINARY AMENDMENT in the above-identified application.

- ☐ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☐ Additional documents filed herewith:

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	22	MINUS	20	2	x \$18 =	\$36.00
INDEPENDENT	3	MINUS	3	0	x \$86 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$290 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$36.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$36.00

- ☐ A check in the amount of \$0.00 is attached.
- ☒ Credit card payment form is attached to cover the fees in the amount of \$36.00
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

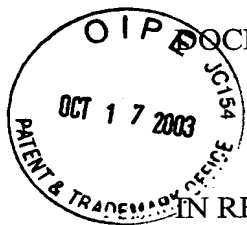
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POCKET NO: 239422US8CONT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

:

SHU NAMIKI, ET AL.

: EXAMINER:

SERIAL NO: 10/603,770

:

FILED: JUNE 26, 2003

: GROUP ART UNIT: 3663

FOR: RAMAN AMPLIFIER SYSTEM,  
APPARATUS AND METHOD FOR  
IDENTIFYING, OBTAINING AND  
MAINTAINING AN ARBITRARY  
RAMAN AMPLIFICATION  
PERFORMANCE

:

PRELIMINARY AMENDMENT

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Prior to the examination on the merits, please amend the above-identified application  
as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on  
page 2 of this paper.

**Remarks/Arguments** begin on page 8 of this paper.

10/22/2003 SSESHE1 00000090 10603770 36.00 OP  
01 FC:1202